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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/665,373	09/22/2003	Hidehiro Yamazaki	033025-006	5015

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EXAMINER

PAK, JOHN D

ART UNIT	PAPER NUMBER
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1616

NOTIFICATION DATE	DELIVERY MODE
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05/14/2008

ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

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Office Action Summary	Application No. 10/665,373	Applicant(s) YAMAZAKI, HIDEHIRO	
	Examiner John Pak	Art Unit 1616	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 17 May 2007.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-8 and 10-16 is/are pending in the application.
- 4a) Of the above claim(s) 5-8, 10-14 and 16 is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-4 and 15 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

A request for continued examination (RCE) under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 5/17/2007, a request to enter the amendment of 4/18/2007, has been entered.

Claims 1-8 and 10-16 are pending in this application.

The restriction requirement of 1/26/2006 and applicant's election of 2/27/2006 continue to apply in this RCE. Accordingly, claims 5-8, 10-14 and 16 remain withdrawn as being directed to non-elected subject matter. Claims **1-4** and **15** will presently be examined to the extent that they read on the elected subject matter.

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1-4 and 15 are rejected under 35 U.S.C. 103(a) as being unpatentable over JP 10-203961 in view of Medline abstract 93060291, Shozo et al. (1986), HCAPLUS abstract 1984:188290 and HCAPLUS abstract 1997:400035.

JP 10-203961¹ discloses treating ketoacidosis, without causing alkalosis, by administering a solution that contains the following electrolytes:

¹ This is a Japanese language document. For applicant's convenience, a full English (human) translation is provided herewith. All discussion and reference are to this translation, not the previous (machine) translation provided in previous Office actions.

Art Unit: 1616

	<u>Range</u>	<u>Preferred range</u>
sodium:	120-150 mEq/L	125-145 mEq/L
potassium:	0-10 mEq/L	0-5 mEq/L
chloride :	90-120 mEq/L	95-115 mEq/L
calcium :	0-5 mEq/L	1-4 mEq/L
magnesium:	0-5 mEq/L	1-4 mEq/L
bicarbonate:	20-35 mEq/L	22-33 mEq/L
citrate:	1-5 mEq/L	1-4 mEq/L.

See translation of claim 1 and paragraphs 5, 7-9. Correction of acidosis with bicarbonate is generally disclosed (translation of paragraphs 2-3). The electrolyte solution set forth above is administered as an infusion for "surgical invasion, and the like" to supply electrolytes in a balanced manner (translation of paragraph 13). Correcting decrease of arterial blood pH is taught (translation of paragraph 14). Dose is taught to be suitably adjusted according to a patient's symptoms, age, weight, etc., at 500-8000 ml/per day (id.).

Medline abstract 93060291 discloses that hormonal change after surgical stress and anaerobic glycolysis due to tissue ischemia cause acidosis. Postoperative complications also cause acidosis. Acidosis is specifically found in gastrointestinal surgery. Alkalosis is discussed as a result of bicarbonate production from lactate and citrate supplied by massive infusion and transfusion.

Shozo et al. (submitted by applicant with the IDS of 2/3/2004) disclose the various technological considerations involved in administering sodium bicarbonate in

Art Unit: 1616

fluid therapy (see entire English translation submitted by applicant). Section 3 of the article (translation pages 3-4) discloses that sodium bicarbonate administration speed and quantity for treating metabolic acidosis are known. Maximum speed is 100 mEq/hour and a formula is given for administration quantity, which are adjusted for condition of the patient (id.).

HCAPLUS abstract 1984:188290 discloses that the use of blood gas analytical parameters for monitoring and therapy of patients with acid-base disturbances is known.

HCAPLUS abstract 1997:400035 discloses an apparatus capable of determining CO₂ partial gas pressure and pH from blood gas analysis for clinical diagnosis. The apparatus is "convenient for monitoring acidosis or alkalosis."

Controlling electrolyte balance is explicitly taught by JP 10-203961, and controlling water balance would be obtained from the administration of the same exact solution. The patient in JP 10-203961 suffers from ketoacidosis, which is a type of metabolic acidosis, **and can include patients undergoing surgery**. The makeup of the preferred solution in JP 10-203961 compares as follows with applicant's invention:

	<u>JP 10-203961</u>	<u>Applicant's claimed invention</u>
sodium:	122-145 mEq/L	130-145 mEq/L
potassium:	0-5 mEq/L	2-5 mEq/L
chloride :	95-115 mEq/L	90-130 mEq/L
calcium :	1-4 mEq/L	2-5 mEq/L
magnesium:	1-4 mEq/L	0.5-2.5 mEq/L
bicarbonate:	22-33 mEq/L	20-35 mEq/L
citrate:	1-4 mEq/L	1-7 mEq/L.

It is the Examiner's position that the concentration of the claimed invention would have been fairly suggested to the ordinary skilled artisan in this field from the narrow range of identical components disclosed by JP 10-203961. Even if it could be argued that the

Art Unit: 1616

solution in JP 10-203961 does not match exactly in content, one having ordinary skill in the art would have been motivated to adjust from the narrow range taught by JP 10-203961 the solution makeup and concentration as claimed to control water and electrolyte balance and acid-base equilibrium in patients suffering from metabolic acidosis and surgical or postoperative patient. The motivation for such adjustment would come from monitoring and responding to the patient's blood parameters, which must be done when treating acid imbalance.

As for the new claimed feature of 5-20 ml/kg/hour, the Examiner's position is that such infusion speed is fairly suggested by the prior art taken as a whole. Shozo et al. disclose "criterion for calculating ... administration speed and administration quantity is established" (translation page 3, last full paragraph). 100 mEq/hour is the *maximum* speed of infusion, wherein flexibility is taught depending on condition of a patient and "[s]lower administration speed in general is enough" (translation page 4, lines 2-3). For comparison, applicant's 5-20 ml/kg/hr is equivalent to:

6-42 mEq bicarbonate/hour for a 60 kg person,

8-56 mEq/hr for a 80 kg person,

10-70 mEq/hr for a 100 kg person, and

14-84 mEq/hr for a 120 kg person.

To the ordinary skilled artisan, the claimed 5-20 ml/kg/hour feature would thus have been obvious from the combined teachings of the prior art since determining how much of the infusion to administer or how fast to administer would depend on patient condition

Art Unit: 1616

and weight, with the proviso that the ultimate bicarbonate administration speed is no higher than the established rate of 100 mEq/hr.

The secondary references HCAPLUS abstract 1984:188290 and HCAPLUS abstract 1997:400035 further establish the motivation of the ordinary skilled artisan to adjust the infusion speed or demedication of the solution as necessary, because said references establish that close monitoring of the patient's blood parameters via blood gas analysis is practiced when treating acidosis. The secondary reference by Medline abstract further establishes the motivation of ordinary skilled artisan to treat surgical and postoperative patients with the solution taught by JP 10-203961.

As for the feature of claim 3, which requires adjusting infusion speed to maintain a plasma bicarbonate concentration to be in a range of 22 to 26 mEq/L, such step would have been obvious for returning the patient's blood profile to normal levels since the normal plasma bicarbonate concentration in humans is 24 mEq/L.

Therefore, the claimed invention, as a whole, would have been prima facie obvious to one of ordinary skill in the art at the time the invention was made, because every element of the invention and the claimed invention as a whole have been fairly disclosed or suggested by the teachings of the cited references.

Applicant's remarks relative hereto have been given due consideration but they were deemed unpersuasive. The present application of prior art references and the rationale set forth above clearly address the new feature of 5-20 ml/kg/hr.

For these reasons, all claims must be rejected again.

Any inquiry concerning this communication or earlier communications from the Examiner should be directed to JOHN PAK whose telephone number is **(571)272-0620**. The Examiner can normally be reached on Monday to Friday from 8 AM to 4:30 PM.

If attempts to reach the Examiner by telephone are unsuccessful, the Examiner's SPE, Johann Richter, can be reached on **(571)272-0646**.

The fax phone number for the organization where this application or proceeding is assigned is **(571)273-8300**.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (571)272-1600.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/John Pak/
Primary Examiner, Art Unit 1616